

CERTIFICATE OF MAILING

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Date: Name: 8-14-01

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Clifford Chance Roper's & Welley I.P.

Docket No. 3499-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hans-Linhard Reich et al.

Filed:

June 8, 2001

Group Art Unit:

2161

Serial No:

09/877,439

Examiner:

to be determined

For:

METHOD AND SYSTEM FOR AUTOMATED TRANSACTION

COMPLIANCE PROCESSING

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers (copy enclosed) dated August 7, 2001 received for the above identified application, enclosed please find the following:

1. Sheets of Substitute Drawings comprising of Figs. 1-5

The Commissioner is hereby authorized to charge any fee required in connection with this response to Deposit Account No. 50-0521.

Date:

Aug. 14,2001

Clifford Chance Rogers & Wells LLP

200 Park Avenue

New York, NY 10166-0153 Telephone: (212) 878-8545 Respectfully submitted,

Mitchell S. Feller Reg. No. 42,530











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FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

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06/08/2001

NG/RECEIPT DATE

Hans-Linhard Reich

3499-63

CONFIRMATION NO. 1544

FORMALITIES LETTER *OC000000006392825*

Mitchell S. Feller, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue New York, NY 10166

APPLICATION NUM

Date Mailed: 08/07/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE